



FINANCIAL CRIME MASTERCLASS SERIES 2025

PERFORMING EDD AND INVESTIGATING PEP RISKS (A CASE STUDY INVESTIGATION)

AN EXCLUSIVE FINANCIAL CRIME MASTERCLASS SERIES FOR AFB MEMBERS

This new for 2025 series of five financial crime masterclasses has been developed in conjunction with Great Chatwell Academy of Learning. These masterclasses are exclusive to AFB.

Held in-person and delivered by Lee Byrne from AFB's training partner Great Chatwell Academy of Learning (GCAL), each session is CPD accredited and designed specifically for member banks.

Each Masterclass is outcomes-focussed and highly interactive. All courses are designed to provide answers to operational and technical issues that risk and compliance professionals must address in 2025 and beyond.

Masterclasses will run from 0930 – 1230 with registration from 0900 on the following dates:

Weds 21 May - Conducting a Firmwide Financial Crime Risk Assessment

Weds 18 June - Performing EDD and Investigating PEP Risks (A Case Study Investigation)

Weds 16 July - Capital Markets (Combatting Money Laundering Risks)

Weds 24 Sept - Correspondent Banking (Mitigating the Risks in Respondent Relationships)

Weds 12 Nov - Managing Sanctions Risks (Identifying the Risks in Transactions and Trade)

All sessions will be held at a central London location.

ABOUT GREAT CHATWELL ACADEMY OF LEARNING

Great Chatwell are trusted partners and providers of risk and compliance guidance, support, and training for more than 5,000 employees and more than 100 regulated and supervised businesses with UK and international risk management responsibilities. This includes representation from a wide range of financial sectors, including retail and commercial banks, trade-finance, correspondent banking, payment and e-money providers, consumer credit, supply chain finance, residential and commercial lending, online auction houses, sellers of high-value jewellery, cryptocurrency, insurance and the legal profession.



COURSE INSTRUCTOR – LEE BYRNE & INVITED GUESTS



Lee Byrne is a highly respected financial crime compliance leader who has spent his career contributing to the fight against crime, operationally as an MLRO and nominated officer, and most recently as the founder of Great Chatwell Academy of Learning through the design and delivery of role-specific training for firms and individuals.

As part of this programme of tailored support for the AFB, Lee will be joined by carefully selected senior practitioners to ensure that each Masterclass delivers the information that delegates require to meet the challenges of regulatory compliance and a changing crime landscape.



PERFORMING EDD AND INVESTIGATING PEP RISKS (A CASE STUDY INVESTIGATION)

This unique and highly practical instructor-led Masterclass has been designed for AFB members who are required to perform customer due diligence checks and investigations as part of the risk-based approach to managing financial crime risks.

During the workshop delegates will be invited to assess the risks of high-risk individuals and complex business structures to identify undisclosed beneficial ownership and other crime risks.

Demonstrations will be provided of the latest trends, including the use of digital identification and provided with an introduction to performing enhanced due diligence checks using open-source information (OSINT).

Delegates will be supported with practical notes, working templates and risk assessment exercises to ensure that the session is highly interactive, and outcomes focussed.

AFB Members £400 +VAT

Wednesday 18 June 0900 - 1230

CENTRAL LONDON VENUE

Session limited to 30 participants

COURSE CONTENT

1. Legal and regulatory requirements, FCA and the MLR 2017 as amended, relating to:
 - ID, digital identity and IP address, source of funds, wealth, and income, verification procedures, investigating corporate beneficial ownership, risk-based approach to CDD and the five core risk factors, regulatory case enforcement analysis and Dear CEO letters
2. Identifying the risks (High risk activity)
 - Fraud identity and documents, TCSP – formal nominees, identifying informal nominees – friends, family, and close business associates, complex layering, Mules /Structuring/Smurfing, identifying shell and shelf companies Fraud identity and documents
3. Investigating the risks (OSINT):
 - Introduction to OSINT techniques; accessing public databases and sources of crime risk information; conducting advanced open-source searches to identify risk and validate information.

COURSE STRUCTURE

- In-person instructor led
- 3 hours of learning
- Case study analysis
- Working templates
- CPD credits for any session attended

LEARNING OUTCOMES

By the end of this session, you will be able to:

- Describe current and emerging trends used by criminals to disguise their identity, control, and ownership of the proceeds of crime
- Explain UK and international legal and regulatory CDD standards
- Define the risk-based approach to CDD, including enhanced due diligence
- List sources of guidance and open-source information databases to guide and inform risk assessments
- Demonstrate how to use search operators to verify customer identity, and to identify adverse media for higher-risk applicants and relationships
- Perform enhanced due diligence for higher risk relationships to identify unusual activity

WHO SHOULD ATTEND?

- All risk professionals who conduct customer due diligence checks and verification
- Senior financial crime compliance professionals
- MLROs and their deputies
- Line two and three risk professionals, including Internal auditors

LOGISTICS & BOOKING INFORMATION

Venue: In-person, Central London venue

Date: Wednesday 18 June 2025

Time: 09:00 – 12:30 (Registration and light breakfast from 09:00)

Price: £400 plus VAT

For further information including any questions around booking please contact

secretariat@foreignbanks.org.uk

BOOK NOW